



Circular no.: 020/2011/Memb/AuthUser/1

14-April-2011

Authorised Users

Members of GBOT shall appoint suitable persons to operate the trading terminal allocated to them by GBOT. Such persons are referred to as Authorised Users of the Members Trading Terminals.

GBOT may from time to time prescribe skills or qualifications for persons who would be appointed by its Members as Authorised Users to operate their trading terminals. Only those persons who meet such pre-requisites shall be authorised to function as Authorised Users of the Members.

A director, an officer, an employee or an agent of a Member who has been authorized to trade on GBOT markets on behalf of the Member shall apply for registration as an Authorised User.

No person shall be allowed to trade on GBOT markets unless he is authorised to trade by a Member and is registered with Global Board of Trade as an Authorised User.

GBOT may, at its absolute discretion, refuse to register a person as an Authorised User or terminate such registration if it considers that the person is not or is no longer fit or proper to act as the Authorised User of a Member.

A Member who permits any person to access its Trading Work Station (TWS) allocated by GBOT and who has not been granted registration as an Authorised User, may have its membership suspended by GBOT for a period to be determined by GBOT and/or be subject to a disciplinary action, among other things.

The format for the application for approval as Authorised User is given below as Annexure 1. The name and details of the Authorised User so appointed and any changes thereof should be communicated forthwith to GBOT.

The format for cancellation / disablement of an approved Authorised User is given as Annexure 2.

For any clarifications, please contact Miss. Fiona De Braganca on (+230) 4040011 or send an email to fiona.debraganca@gbot.mu

For Global Board of Trade Limited

Fiona De Braganca
Manager - Membership

APPLICATION FOR APPROVAL AS AUTHORISED USER
(To be on the letterhead of the Member)

Date :

To
The Membership Department
Global Board of Trade Ltd
Ebène House, 1st Floor
33 Cybercity, Ebène
Republic of Mauritius

Dear Sir,

Commodity Derivatives Segment TM Id _____

Currency Derivatives Segment TM Id _____

We _____ (Name of Member) do hereby inform you that _____ (Name of User) has been appointed by us as an Authorised User on the Commodity Derivatives market segment / Currency Derivatives market segment at our trading office where we have the trading connectivity. We further desire that _____ (Name of User) be granted permission to act as our Approved User at the below mentioned office for our trading terminals. The office details where the terminal is located and other relevant details are furnished below.

1.	Segment	Commodity Derivatives Segment <input type="checkbox"/>
		Currency Derivatives Segment <input type="checkbox"/>
2.	Our Financial Services Commission License No.	Commodity Derivatives Segment _____
		Currency Derivatives Segment _____
3.	Type of office: Regd. Office / Head Office / Branch Office.	
4.	Address of the office where terminal is located	
5.	Name and designation of the person in-charge of the above office.	

6.	Telephone No(s)	
7.	Fax No(s)	
8.	No of existing terminals at the above office	
	Proposed User details	
9.	Full Name	
10.	Fathers Name	
11.	Date of birth (dd/mmm/yyyy)	
12.	Place of Birth (Country, City)	
13.	Passport Details	Passport Number : _____ Place of Issue : _____ Country : _____ City _____ Date of Issue: : _____ Date of Expiry: : _____
14.	Nationality	
	Copy of the passport to be submitted. Those with Multiple Passports may kindly furnish details of such passports and copies of the same.	
15.	Mobile No.: (With country & area code)	
16.	Email id of Approved User	
17.	Relationship of the proposed User with the Trading Member (i.e. an employee, agent on commission basis, trainee, others (pls. specify)	
18.	Period from which the relation commenced (dd/mmm/yyyy)	

We hereby agree and bind ourselves to be responsible for all acts, quotations made and transactions done, trades made, or effected by _____ (full

name of user) as our Approved User on the Trading System of the Global Board of Trade Limited (GBOT).

In case of the said _____ (*full name of user*) ceasing to be associated with us, we shall communicate the same along with related details and seek change / cancellation in the user id so allotted against this application, as prescribed. We hereby certify that we have not applied for any other User Id in the name of the said _____ (*full name of user*)

The user id so allotted would be used by the said _____ (*full name of user*) only to access the GBOT trading system.

We further certify that _____ (*full name of user*) is not debarred by any regulatory body or any Commodity Exchange or Stock exchange.

Date : _____

Place : Signature of the authorised representative
of the Trading Member

I certify that I am aware and will abide by the Rules and circulars issued by Global Board of Trade Limited and Financial Services Commission, Mauritius from time to time. I have agreed to become an Authorised User of M/s _____ (name of Trading Member). I would also inform the Exchange on ceasing to be an employee/Authorised User of M/s _____ (name of the trading member). I certify that I have not applied for any other User Id with any other member of Global Board of Trade Limited. I will not allow anybody else to access/use the GBOT Trading System using the User Id so allotted to me.

Date : _____

Place : Signature & Name of Authorised User

[Format for disablement of User Id]

Date:

To,
The Membership Department
Global Board of Trade Ltd
Ebène House, 1st Floor
33 Cybercity, Ebène
Republic of Mauritius.

Dear Sir,

Sub: Application for Disablement of User Id on the on the Commodity
Derivatives Market Segment / Currency Derivatives Market Segment

Commodity Derivatives Segment TM Id _____

Currency Derivatives Segment TM Id _____

Our Authorised User Mr. /Ms. _____ (Name
of the user) has been issued the TWS User Id no _____ and SSL VPN Id
_____, on the Commodity Derivatives Segment /Currency Derivatives
Segment by GBOT. Mr./Ms. _____ is no more associated
with us for _____ (reason) / transferred to another
department.

We hereby agree and bind ourselves to be responsible for all acts, quotations
and transactions done, trades made, or affected by him/her on the Trading
System. We will be responsible to GBOT for clearing & settling any dues for the
user.

We request you to disable the above said user Id(s) on the on the Commodity
Derivatives Market Segment / Currency Derivatives Market Segment with effect
from ____/____/____ (Date).

For (Name of Member)

Signature
Name of Authorised Signatories/Director
Stamp / Seal of the Company